

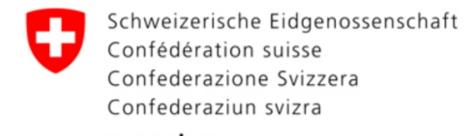


# MARTA: Leveraging Human Rationales for Explainable Text Classification

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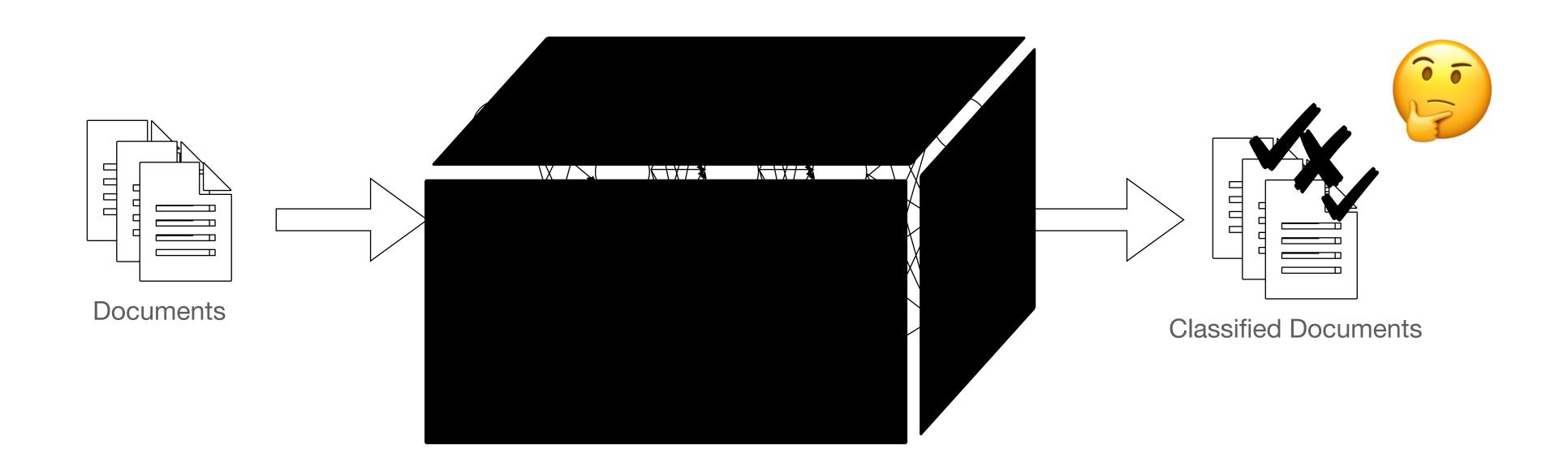


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#### **Neural Networks for Text Classification**



- State of the art methods for text classification rely on neural networks.
- These methods are often considered as black boxes by end users as their output is hard to interpret.

#### **Explainable Text Classification Methods**

- The goal is to present end-users with human-readable description of the classification result: "a rationale".
- Among these methods, a popular approach consists of using attention mechanisms.
- An attention mechanism assigns an attention weight to each sentence of the text.
- Does the attention weight provide a rationale?

#### **Example using Attention Mechanisms**

#### Review

In the Atom Station, Halldor Laxness demonstrates the skill and complexity that led to his being awarded the Nobel Prize in Literature. The novel tells the story of a simple lass from the north of Iceland [...] She also comes to some realizations about herself and the importance of social class and knowledge and how these interact in today's modern world. [...]

Method	Rationale for the classification of the review as a book		
Ideal	The novel tells the story of a simple lass from the north of Iceland.		
Attention Mechanism	She also comes to some realizations about herself and the importance of social class and knowledge and how these interact in today's modern world.		

Fig. The rationale given by the attention mechanism is not accurate.

#### **Attention Vs Explanation**

- Recent studies have shown that:
  - the attention distribution is inconsistent with the input units [1]
  - two different attention distributions can yield the same result [2].
- Solution: Enhance the explainability of attention based models by integrating human rationales.
  - [1] Jain, S. and Wallace, B.C., 2019. Attention is not explanation. arXiv preprint arXiv:1902.10186.
  - [2] Wiegreffe, S. and Pinter, Y. Attention is not not explanation. EMNLP 2019.

#### Integrating Worker Rationales for Attention Learning

 Rationale provided by crowd workers can guide the learning of the attention distribution.



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Rationales provided by workers are not all the same and highly depend on workers reliability.

#### Challenges

- Fig. The rationale given by the attention mechanism is not accurate.
  - How can we make the attention distribution reflect a higher quality explanation for text classification?
- Impact of worker's reliability on rationale's quality:
  - How can we quantify the reliability of workers when they provide us with rationales and labels?

#### Contributions

We propose **MARTA**, a Bayesian framework for <u>MApping human Rationales</u>
To Attention.

We derive an efficient learning algorithm based on *variational inference* with incremental updating rules for **MARTA** parameter estimation.

We conduct an extensive evaluation on two real-world datasets and show that **MARTA** outperforms state-of-the-art methods.

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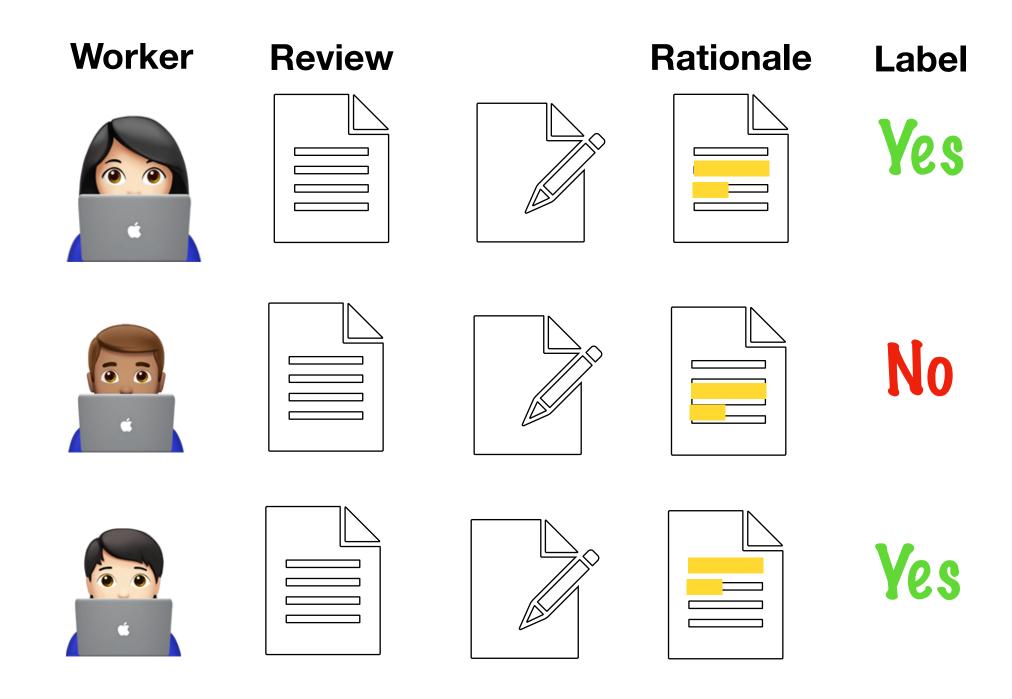
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#### The Crowdsourcing Task

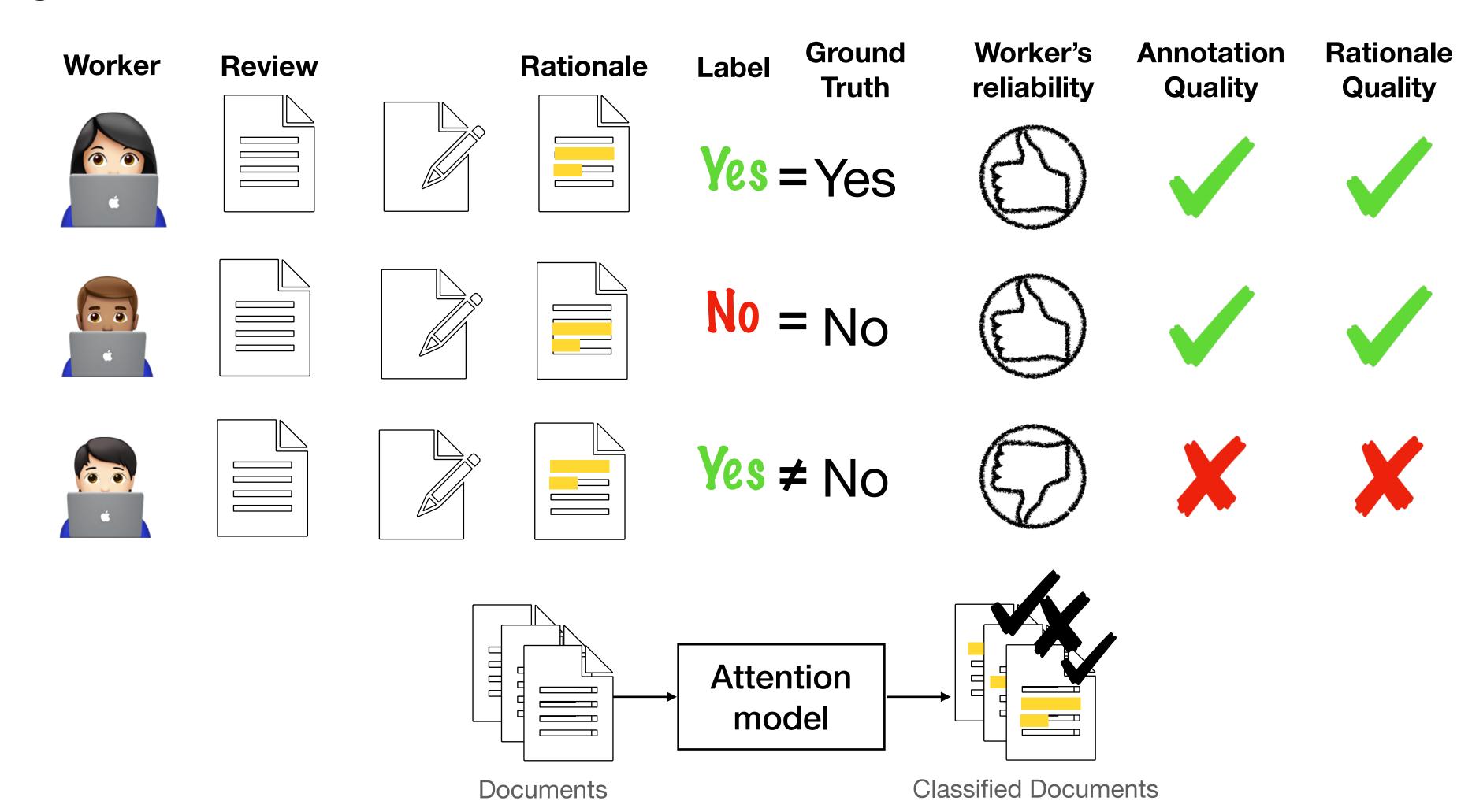
Predicate: Is the review about a book?



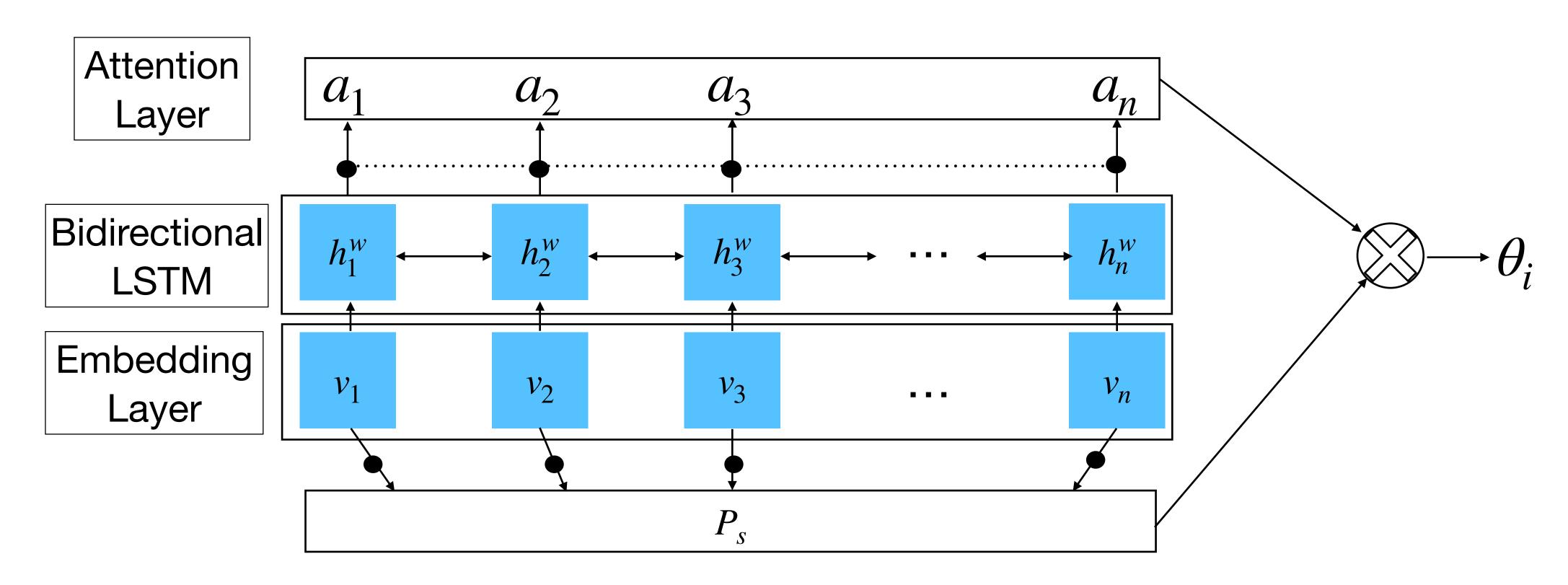
#### **Problem Formulation**

- Given:
  - A set of textual documents  $\mathcal{I}$ .
  - Labels and rationales provided from workers of some documents ( $\mathcal{F}_w \in \mathcal{F}$ ).
  - Ground truth labels of some documents  $(\mathcal{I}_l \in \mathcal{I}_w)$ .
- Our goal is to:
  - Infer the **true label**  $z_i$  of each document  $i \in \mathcal{I}$ .
  - Estimate the importance  $\alpha_s$  of each sentence in a document  $i \in \mathcal{I}$ .

#### In a nutshell



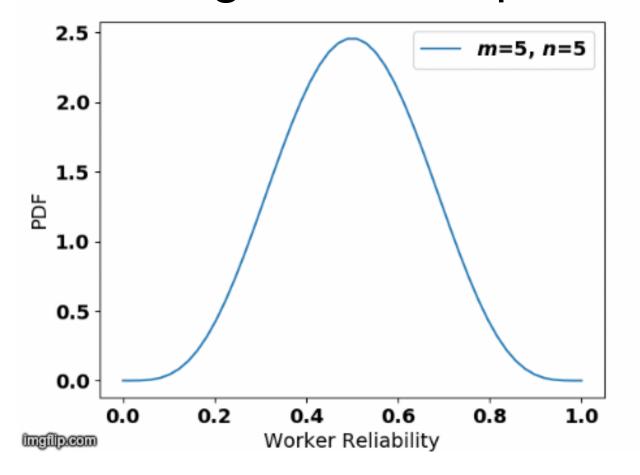
#### **Rationale-Aware Attention Model**



- We use worker's rationale to guide the learning of the attention distribution.
- Worker's annotation of rationale are not fully reliable.

#### **Modeling Worker Reliability**

- The worker reliability  $r_j \in [0,1]$ : If  $r_j = 1$ , the worker is fully reliable and  $r_j = 0$  otherwise.
- The support of a Beta distribution is in [0,1]
- ightharpoonup We model the worker reliability with a Beta distribution:  $r_j \sim Beta(m,n)$
- The likelihood of worker's rationale being correct depends on her reliability.

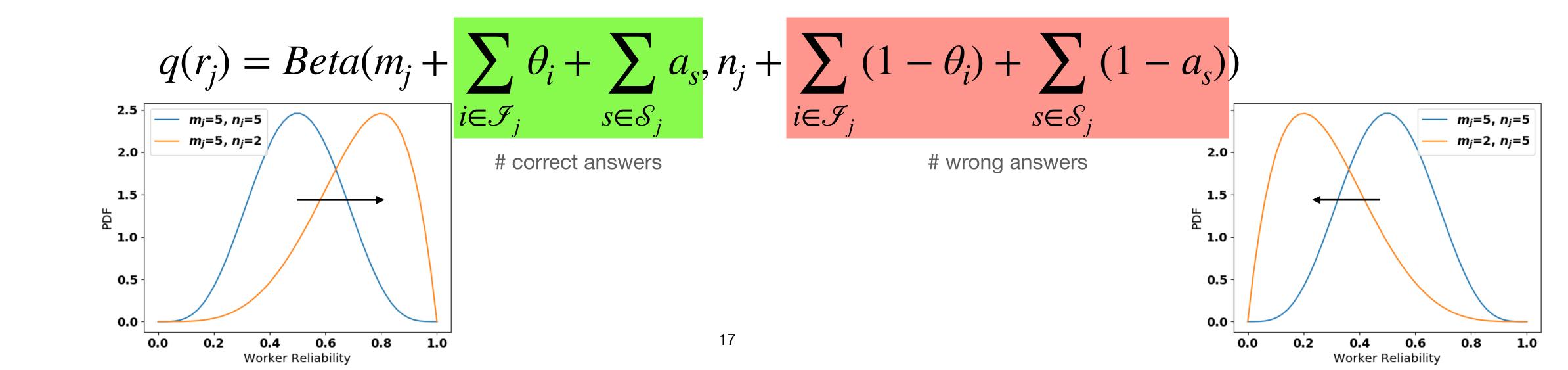


#### **Updating the Worker Reliability**

The worker reliability can be incrementally computed using:

- $\bigstar$  her annotation quality  $\theta_i$
- $\bigstar$  her rational quality  $a_s$

such that the more correct answers she provides, the more reliable she is.



#### Modeling the Document Classification

- The true label of a document is a binary variable.
- We model the true label of a document with a Bernoulli distribution:  $z_i \sim Ber(\theta_i)$

The document classification can be incrementally computed using:

- $\bigstar$  The predicted label  $\theta_i$
- $\bigstar$  The reliability parameters  $m_j$  and  $n_j$ .

$$q(z_i) = \frac{\theta_i}{\Pi} \exp{\{\Psi(m_j) - \Psi(m_j + n_j)\}}$$

Predicted label

Geo. Mean of the reliability

#### Modeling the Sentence Importance

- The importance of a sentence is a binary variable.
- We model if a sentence is a rationale with a Bernoulli distribution:  $\alpha_s \sim Ber(a_s)$

The sentence importance can be incrementally computed using:

- $\bigstar$  The predicted importance  $a_s$
- $\bigstar$  The reliability parameters  $m_i$  and  $n_i$ .

$$q(\alpha_s) = a_s \prod \exp{\{\Psi(n_j) - \Psi(m_j + n_j)\}}$$

Predicted importance

Geo. Mean of the reliability

#### Variational Inference Algorithm

Input: Worker's labels, Worker's Rationales, Textual documents

Output: Worker reliability, Document classification, Sentences importance

#### Repeat

#### #E step:

Incrementally compute the worker reliability

Incrementally compute the document classification

Incrementally compute the sentence importance

#### #M step:

Update the rationale-aware model

Until convergence

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#### **Datasets**

 Task: We ask workers to specify the relevance of documents to a certain topic and extract the part of text justifying their answer.

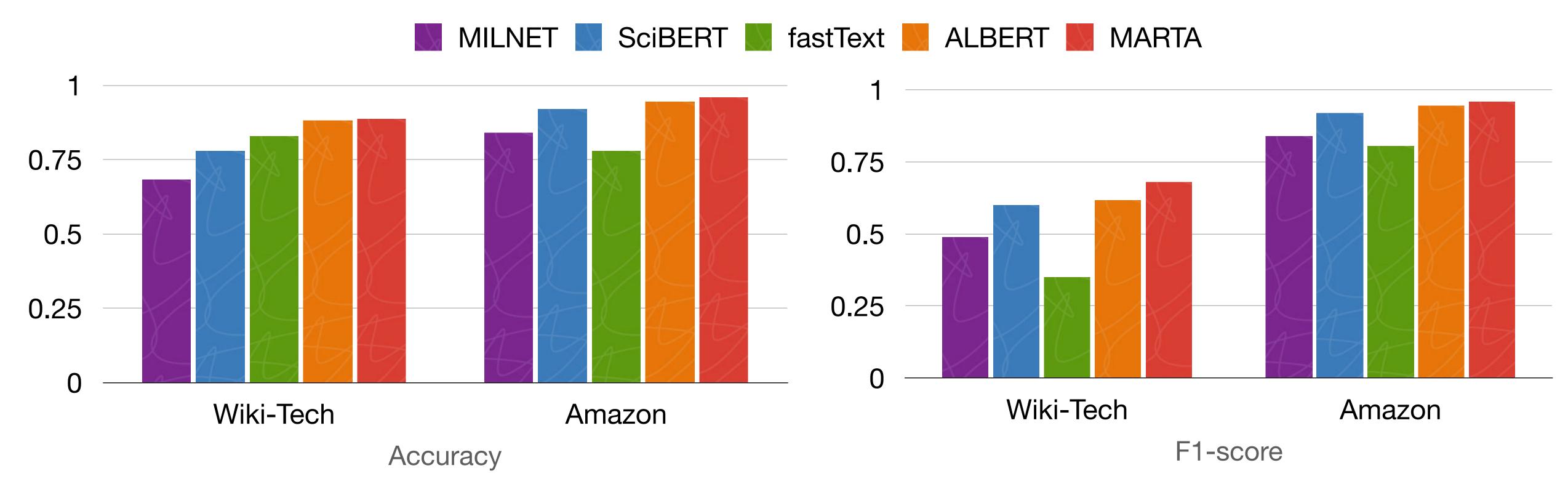
Dataset	%Positive	#Judgments	#Workers
Wiki-Tech	17.26%	4488	58
Amazon	50%	6744	449

• Metrics: We use **Accuracy**, Precision, Recall and **F1-score**.

#### Baselines (1/2)

- Text classification methods:
  - MILNET (TACL'18): a Multiple Instance Learning neural network.
  - fastText (EACL'17): Linear model on top of bags of n-grams.
  - SciBERT (EMNLP'19) and ALBERT (ICLR'19): pre-trained language models with a linear classifier.

#### Comparison with Text Classification Methods

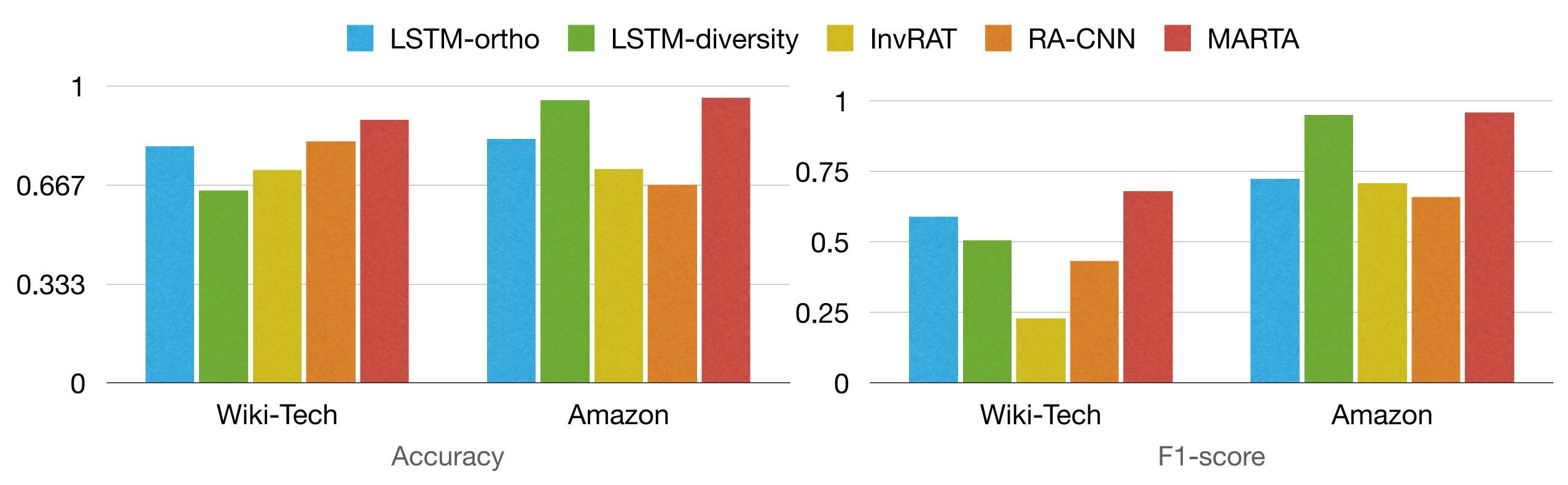


- MARTA improves text classification methods by 0.97% accuracy and 5.76% F1-score.
- The rationale highlighted by workers help guiding our rationale-aware model.

#### Baselines (2/2)

- Rationale-aware model:
  - LSTM-ortho, LSTM-diversity (ACL'20): An extension of LSTM with diversity constraints on hidden states.
  - InvRAT (NeurIPS'19): A game theoretic approach aiming at identifying features correlating with the output.
  - RA-CNN (EMNLP'16): A sentence-level convolutional model that estimates if a sentence is a rationale.

#### Comparison with Rationale-aware Methods



- MARTA improves rationale-aware methods by 18.68% accuracy and 17.61% F1-score.
- MARTA is better at leveraging rationale by weighing workers reliability.

#### **Explainability**

 The overlap between the rationales chosen by workers and those highlighted by MARTA is 66%.

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Book related review

**Attention** Mechanism

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review



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**MARTA** 

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### Conclusion

- We introduced MARTA for explainable text classification that integrates human rationales into an attention-based model.
- MARTA substantially outperforms state of the art by 5.76% F1-score.
- MARTA offers a human understandable explanation for text classification.
- Future work includes: token level explainability and leveraging workers justification expressed in a syntax different from the original text.

# Thanks for your attention! Any questions?



https://github.com/eXascaleInfolab/MARTA

